

04-14-00

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.: 9144-5

DATE: April 13, 2000

UTILITY PATENT APPLICATION TRANSMITTAL LETTER AND FEE TRANSMITTAL FORM (37 CFR 1.53(b))

BOX PATENT APPLICATION

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) is:

- ☒ a patent application
☐ a Continuation ☐ a Divisional ☐ a Continuation-in-Part (CIP)
 of prior application no.: ; filed
☐ A Small Entity Statement(s) was filed in the prior application; Status still proper and desired.

Inventor(s) or Application Identifier:

Michael Brader-Araje, Durham, North Carolina; **Dov Cohn**, Raleigh, North Carolina;
Mark Jauquet, Amherst, New York; **Richard Racz**, Durham, North Carolina; and
John L. Root, III, Raleigh, North Carolina,

Entitled: **SYSTEMS, METHODS AND COMPUTER PROGRAM PRODUCTS THAT
 FACILITATE PARTICIPATION IN ONLINE AUCTIONS VIA AN INTERMEDIARY
 WEB SITE**

Enclosed are:

1. ☒ Application Transmittal Letter and Fee Transmittal Form (*A duplicate is enclosed for fee processing*)
2. ☒ 60 pages of Specification (including 53 claims)
3. ☒ 12 sheets of Drawings (35 USC 113)
4. ☐ Oath or Declaration
 - a. ☐ newly executed (*original or copy*)
 - b. ☐ copy from prior application (37 CFR 1.63(d) (*for continuation/divisional*)) [Note Box 5 Below]
 - c. ☐ DELETION OF INVENTOR(S) (*Signed statement deleting inventor(s) named in the prior application*)
5. ☐ Incorporation By Reference (*useable if box 4b is checked*)
 The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (*Appendix*)
7. ☐ Assignment papers (*cover sheet(s) and document(s)*)
8. ☐ Small Entity Statement(s)
9. ☐ Information Disclosure Statement, PTO-1449, and ____ references cited
10. ☐ Preliminary Amendment (*Please enter all claim amendments prior to calculating the filing fee.*)
11. ☐ English Translation Document
12. ☐ Certified Copy of Application No. ; Filed


13. ☐ Sequence Listing/ Sequence Listing Diskette
 a. ☐ computer readable copy
 b. ☐ paper copy
 c. ☐ statement in support
14. ☐ An Associate Power of Attorney
15. ☒ Return Receipt Postcard (MPEP 503) *(Should be specifically itemized)*
16. ☒ Other: Application filed under 37 CFR 1.41(c)

The fee has been calculated as shown below:

| | Column 1 No. Filed | Column 2 No. Extra | Small Entity Rate Fee | Large Entity Rate Fee |
|---|-----------------------|-----------------------|-------------------------------|-------------------------------|
| BASIC FEE | | | \$345.00 | \$690.00 |
| TOTAL CLAIMS | 53 - 20 = | 33 | x 09 = \$ | x 18 = \$ |
| INDEP CLAIMS | 15 - 3 = | 12 | x 39 = \$ | x 78 = \$ |
| <input type="checkbox"/> MULTIPLE Dependent Claims Presented | | | + 130 = \$ | + 260 = \$ |
| <i>If the difference in Col. 1 is less than zero, Enter "0" in Col. 2</i> | | | Total \$ | Total \$ |

- ☐ A check in the amount of \$ to cover the filing fee is enclosed.
- ☐ A check in the amount of \$ is enclosed to cover the filing fee, PLUS the Assignment' Recordation fee (\$40.00).
- ☐ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No.
- ☐ Any additional filing fees required under 37 CFR 1.16.
- ☐ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,



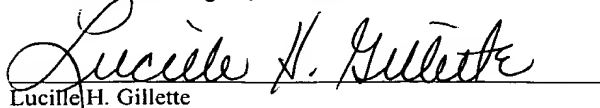
Needham J. Boddie, II
 Registration No. 40,519

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CERTIFICATE OF EXPRESS MAILING

Express Mail Label No. EL533612137US
 Date of Deposit: April 13, 2000

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, DC 20231.


 Lucille H. Gillette

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Michael Brader-Araje et al.

Filed: Concurrently Herewith

For: SYSTEMS, METHODS AND COMPUTER PROGRAM PRODUCTS
THAT FACILITATE PARTICIPATION IN ONLINE AUCTIONS
VIA AN INTERMEDIARY WEB SITE

Date: April 13, 2000

Box Patent Application

Assistant Commissioner for Patents

Washington, DC 20231

APPLICATION FILED UNDER 37 CFR 1.41(c)

Sir:

The above identified application is being filed on behalf of the inventor(s), **Michael Brader-Araje**, resident of 14 Aman Court, Durham, North Carolina 27713; **Dov Cohn**, resident of 1117 Paine Court, Raleigh, North Carolina 27609; **Mark Jauquet**, resident of 55A Groton Drive, Amherst, New York 14228; **Richard Racz**, resident of 5923 Woodlake Drive, Durham, North Carolina 27713; and **John L. Root, III**, resident of 2408 Purple Martin Lane, Raleigh, North Carolina 27606-4000, under the provisions of 37 CFR 1.41(c). A Declaration and Power of Attorney from the inventors will follow, 37 CFR 1.63.

Respectfully submitted,



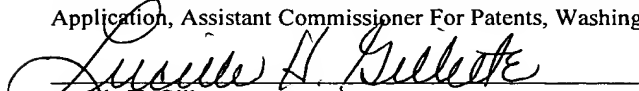
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